	3.12.40.	Director's Circular (draft of-) re correspondence with 5.1.	S. 19a.
		14.	
	4.12.40.	From S.I.S. re restricting liaison between M.I.5. & S.I.S. to the single channel of Section V.	14a.
		.15.	
	6,12,40.	To S.I.S. re issue of circular on the question of correspondence between M.I.S. & S.I.S. Dest. ~c/R) min S.	158-
		16.	
	-8.12.40.	W.1. minute to B. (Captain Liddell) re correspondence with M.I.6. Destroyed ~(R7 11/11/54	-16
		17.17.	
	15.12.40.	From S.I.S. re 15a. Destroyed welky minist	17a
		18.	
	- 24.12.40.	copy of S. I.S. letter re B.26. procedure in regard to questions asked by the H.O. Destroyed welk?	18a
		19	
	24.12.40.	Director's Circular no. 10a.	119a
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5. Form 517 POR POLIC ', ORGANICA

PHINISTRATIVE MATTERS ONLY

POL. F. 50-6-18

VOLUME 1



M. I. S. ORGANISATION AND FUNCTIONS AND ARRANGEMENTS FOR LIAISON WITH SECURITY SERVICE - GENERAL.





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VOLUME 1.

In reply, state NUMBER and DATE VO/6, dated 2.7.40. My dear Guy, (1) Cowgill and I discussed with you last Friday the whole question of our relations with M.I.S. and what seemed to us the danger, inherent in the present situation, that we might, unless an adjustment of existing relations were to be frankly made, be open to a charge of trespass. You asked me to let you have in writing a note of our requirements and you suggested a remedy, which I have now ascertained is quite acceptable to Colonel Menzics. (2) Our new field of operations, which, willy-nilly, necessitates our working this country, is mainly amongst refugees of all classes (including crews of ships), Czechs (though this is not a new problem), Poles, Danes, Norwegians, Dutch, Belgians, French, Spaniards and Portuguese. (3) Broadly speaking, our objectives are: (a) The recruitment of agents to send back to the various countries now under Nazi domination; The collection of information of all kinds about these countries - political, military, naval and air; The collection of information about individual German and Italian agents temporarily operating in this country, or still operating in occupied areas; Provision for the collection of military information in areas in this country, or Ireland, which may be occupied by the enemy; The provision of internal communications to be placed at the disposal of the military and other authorities in the event of invasion of this country and the breakdown of regular communications; The recruitment of agents for certain special activities (of which you are aware), both in this country and abroad; The collection of shipping information from outside the three mile limit. (4) The methods by which we hope to achieve these objects can be roughly summarised as follows: (a) An arrangement with your Section B.24. to give us pointers to individuals who will repay further and more careful at-The employment of special examiners, with M.I.S. authority, to deal with these selected individuals;

(c) The employment of special interrogators, also with M.I.5.

cover, to work in civil intermment camps, or gaols, with a
view to securing information of the type referred to in both
(b) and (c) of para. (3) above;

(d) The planting of agents in civil intermment camps and gaols
with similar objectives;

(e) The employment of British subjects of some standing (their
identity will, of course, be known to your Regional Officers)
as 'agents places' in areas in this country likely to be invaded by the enemy;

(f) The establishment of concealed wireless stations in various
parts of the country; to deal with these selected individuals; parts of the country;
(g) The recruitment and placing of agents for the special tasks of which you are aware; /(h) The

The examination of the crews of neutral ships still plying to ports in occupied territory;
The planting of agents in such ships and also in ships
plying to the few neutral European ports still in existence. (1)

(5) Your suggested solution was that certain of our officers should be made, as it were, honorary members of M.I.5., thus giving them direct relations with the various authorities (e.g. Port Security Officers, Chief Constables, Regional Officers, Commandants of prisons and internment camps, Officers in charge of Refugee Camps), with whom we will have to deal directly and incessantly if

(6) I have been turning your suggested solution over in my mind and it seems to me that the best method of effecting it would be for Section V. of this office, that is to say, , Cowgill, and myself (those officers in fact who know your policy and functions and would not abuse them), should become a Section of M.I.5. and be allotted the next unoccupied B. No. (B.27, I think 26) it is). We can then arm ourselves with your permission with your office stationery and write to the authorities concerned with Harker's authority. Acting in my dual capacity, I would, I believe, be able to ensure that our excellent relations with you would be unimpaired. You and your organisation as a whole would thus be relieved of a large amount of pure "Post Office" work, with which we are compelled to saddle you and with which you are already finding it difficult to cope, if the old division of functions according to the 3-mile limit is to continue to be rigidly observed.

(7) You will see from para. (3) above (and particularly sub-para. (c) of that paragraph) that there is ample scope for the crossing of lines and consequent friction if a system of exchange of information and quick discussion in specific cases is not perfected. Nevertheless, I feel that this aspect can be exaggerated and that the human element enters largely into it. So long, for instance, as Sinclair and his Section, who have had a year's most cordial relations with Cowgill, remain in control, as I understand they are at present, of enquiries about Dutch and Belgian refugees, there is not much fear of friction. For the rest, the case would, I think, be met if there were a rigid rule that the name of every agent, whom any of our Sections propose to employ, should have his name and particulars immediately entered on your card-index, possibly with some special symbol against it.

(8) There remains the question whether it may not be necessary for us to have an officer permanently installed with you at Wormwood Scrubs or elsewhere. To this matter, too, I have given consider-Colonel Menzies' intention) some time each day in an office to be provided for him in Lord Swinton's new Headquarters, and if your representative on the new Intelligence Centre is also there, he and Cowgill will be able to settle most of the daily problems by discussion across the table.

Yours ever,
I enclose a spare copy of this letter in case you may find it convenient for early consideration by and subsequent dis-P.S. cussion with Harker.

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		124	
-2.12:40.	So S.T.S. so the Dost works injuly	120	
	15.		15.12.41.
3, 12,40.	Director's Circular (draft of-) re correspondence with S.I.	5. 1345	
	1/4.		20.5.42.
4.12.40.	From S.I.S. re restricting limison between M.I.5. & S.I.S. to the single channel of Section V.	140.	
			-18.6.48.
6,42,40.	to 3.1.3. re insue of biroular on the question of correspondence between M.1.5 5.1.8. Dest (R) Min (3)	155	13.10.42. 18.11.42. 25.11.42. 27.11.42. 2.12.42.
	16.	4 3 5 1	
-6.12.40.	With Milito to B. (Captain Liddell) re correspondence	-16	30_4.43.
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15,12,40,	Proc 8.1.8. to 150 Destroyed neilRy minist	-17A	30.3.44.
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-24.12.40.	mostions asked by the H.A. Destroyed welk, minist	-18a	17.1.46
	19.		
24,12,40.	Director's Circular no. 10a.	194	
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18, 2, 41	Circular re Organisation of Section V.	20a	6.0
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28.4.41.	From S.I.S. re closer liaison with M.I.5.	218	16/4
	22.	22a	35 316
12,5,41.	trom 5.1.5. re arrengements for the interchange of mail bet 4 M.I.S. Destroyed welk) unally		
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20.5.41.	Copy of W.5.a. note re divisions of B.26.	234	
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14.5.41. To B.26. TO 22m. Destroyed me(K) 1/11/54

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12k

144.

b S.I.S. 13a

15.12.41.

Prom S.1.0. Fe instructions given for all letters emanating from B.26. addressed to G.G. should be sent to Brigadier Harker for signature.

26. 26.

80.5.42.

Prom S.I.S., re exceptions to the B.26. channel for lisison with reference to 17s, A 19s.

26a

-19-6-48.

13.10.42.

From S.I.S., requesting additional name to be put on list at 28s. Car: wc(K) minjou

Note on visit of R. Brooman-White and P. Mathews to Clenalmend. To Foreign Office
From Foreign Office
To Foreign Office from R.I.6, 28.
To Foreign Office

-27a

From S.I.S., enclosing copy of circular descriping the functions of the sub-sections of Section V.

17A 30.3.44. Copy of circular describing the functions of the subsections of Section V.

. 29a

3 . 30.

31.

17.1.46

From S.I.S. re amalgamation of Sections V & IX.

30a

18a

20a

214

254

- 244

D.B. D.B.O. A.D.C.

> As a result of several recent incidents I think the time has come when we ought to review the circumstances of the continued usage by S.I.S. of the title "B.26." (of the Security Service), the origin and purpose of which is described at 4a.

The particular case which prompts me to raise this matter concerns an enquiry I had recently from S.I.S. as to the name of our contact in Canada House, with whom they proposed to negotiate (under the guise of B.26.) the 'farming out' to Canada of an ex-agent - with what potential embarrassment to the Security Service no one can say.

As I see it the use of "B.26." by S.I.S. is bound up with the whole question of the nature, and extent, of the assistance properly to be accorded by the Security Service to S.I.S. we then administration problems involving The Andrew authorities

/The

(160% 237%(1381 2,090,000 8/40 )CASE... (REGIMINT)

[OVER

The broad issue seems to be whather S.I.S. should:-

- (a) Conduct negotiations with outside authorities over their affairs at their own discretion, purporting to do so as part of the Security Service (e.g. as '5.26').
- (b) Report their problems to us, and leave it to us to negotiate them to the best of our ability.
- (a) Be introduced as necessary by the Security Service to quarters capable of meeting their needs leaving it to them to make their case and take responsibility for repercussions.
- (d) Shandki Stand more on their own legs using (e.g.) the Foreign Office as a stalking horse to other Departments.

Where S.I.S. requirements involve liaison or approaches in quarters essentially within the Security Service purview (e.g. U.K. provincial Police Forces, or British territory abroad where there is Security Services representation) it would clearly be appropriate for them to ocnault us.

Personally I only come into this in matters such as squaring the Ministry of Labour over the employment of aliens, or the Board of Trade over issues of clothing coupons, and there are doubtless other functions performed by other Divisions which would need to be reviewed to complete the picture.

A.D.A. 1.8.46. wal.

502 33. D.D.B. 148

. 32.

Reference minute jl, I am quite sure that the practice as outlined at (a) should cease, I am also rather reductant to take on (b) - the only argument in favour of this would be that we should perhaps prevent S.I.S. from spoiling our markets. I would really prefer (c) or (d), and I would suggest that the relative merits - subject to anything anyone else has got to say - of these last two alternatives should first be discussed informally with S.I.S.

D.B. 8.8.46. Call

The formation of B.26 was, I think, a necessary wer-time measure which it would be unwise to continue further into

Ideally, (b) is in my opinion the best alternative, though I imagine it would tax our resources to achieve. B.4 is a possible Section to handle such matters but, like D.B. in Minute 32. I am reductant to see more work placed on their

In the circumstances. I agree with D.B. that a meeting should be called to review the matter.

D.G. write

ADA. I prefor (c) leading towards (d). I assume we shall still use "B 26" on molex condo ets to indicati 5.1.5

1000 14/8/20

1 400 not consulted in arrive + I am agraid I never allowed 510 to seel dies with 2(4) & 3 (d) of 4 A as it would have hid ceivers repercuesions. Ils. on there some always referred the Personally I would preper 16) - 515 are concerned with 58 Freign Countries e if they want to do anything in the let that might affect security we should know about it a deal with it. It present trey make recommendations firest to be for naturalisations of agento but 40, moret on our elemence as well,

wo6. 19/8/06

ADA

DA

23/8/46

SF. 56/15/12/12/1899

ADMI Eus, at I think it were a win 5 Tet SD(ST) , 35(0) , TET for propose to raise the matter I rather within to proposed (4) (4) in you must it It. 26. 8.46

16.3.49.

38.

10.9.46. Note re conversation with Colonel Vivian, S.I.S.

- 38a

NB Co21779

ADE 10 199 DNO. 018 27/9.

I'm may can to see note at 35 a

ADA

13.4.49.

6.4.49.

1.12.47 Circular letter from M. I. 1 rs M. I. 6 Colonel - Relief Teller 700 40a

3.5.48. From S.IS. explaing use of Intelligence Co-ordination Staff. Lease Min from D.D.G. to B.4. 10.5.48.

23.5.49.

Minute Sheet No.

23.2.49.

To S.I.S. re precedure for requests for informationre satellite diplomate addressed to this office. Destroyed ~(R) winter

41-

. 42.

Major Strong.

We have recently been getting a number of requests, mainly from C.F.R., for information from our records about Czech diplomats in London. As this seems to be right outside what I understand to be the lawful province of C.P.R., I have told Maurice Cldfield of R.5. that in future such requests should be channelled through R.5. and that we should be told why the information is wanted. In the meantime I should be grateful if any further requests from S.I.S. for information about Satellite diplomats in London could be referred to B. 2.a.

B.2. 23.2.49.

J.H.Marriott.

43.

16.3.49.

38a

From S.I.S. re Movements of Communists

438

44.

Destroyed necky 11/11/54

一种县

45.

6.4.49.

From S.I.S. suggesting "Report Dist" for British subjects.

458

46.

13.4.49.

To S.I.S. in reply to 45a.

IN DEPARTMENT UNDER SECTION

46a

47.

23.5.49.

Copy of B.2. minute to D.C, and minutes between D.C. and C.4.A. re indiscriminate reports from S.I.S., and conversation with them on this subject.

47a

80 0B15-

Train. pon St 50/6/18 (58)

Secret.

In reply, state NUMBER and DATE.

CX/22601, dated 27.11.42.

M.I.5. (Capt. G.M. Liddell)

For information.

A

My dear Loxley,

Reference your letter of the 25th November:

It puzzles me a little that Guy Liddell should have troubled to raise this point, since it is (or ought to be) common form that we or M.I.5., as the case may be, automatically consult the other in any problem of the sort referred. We at least invariably do so, unless of course it is stated in the originating letter or minute that a copy has been sent to M.I.5., or unless our records show that M.I.5. has no trace of the person or persons concerned.

I think, therefore, that all we need do is to make quite certain that M.I.5. will in future be doing what I have always thought they had been doing hitherto, viz. consulting us in all such cases even when it is unlikely that we should have information, just to make sure.

If that is agreed, I think your "general rule", as given in your letter of the 25th November to Liddell, should be fool-proof and should, moreover, put the onus on the organisation likely to have the majority of the information required.

I am sending a copy of this to G.M.L.

Yours sincerely,

(sd) V.V.

P.N. Loxley, Esq., Foreign Office.



### Note on the visit of

### R. Brooman-White and P. Mathews

Trans. from 8+ 50/6/88(58) 2 8 NOV. 1942

270

Foreign Office, S.W.1.

SECRET.

25th November, 1942.

Jan Gry ( if I may take this though, 30.30.

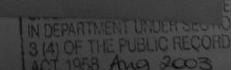
Many thanks for your letter CC. 306, 504/DB of the 18th November.

The point which you raise in your last paragraph is a very pertinent one which has often caused me a certain amount of difficulty and I should be only too glad if we could reach some firm rule as to how I should proceed in future in cases of this sort. At present I am often asked by Departments here whether anything is known about or against somebody or other, and I am frequently in two minds as to whether to consult yourselves or S.I.S., or both of you simultaneously. It is not very convenient for me to have to consult you both, as it either means copying a lot of documents, or else sending the file first-shall we say-to you, and then when I get it back from you, sending it on to S.I.S. It would, therefore, be far simpler from my point of view if I could either put my problem to you, leaving it to you to consult S.I.S. so far as you might think it necessary, or vice versa.

At the moment in so far as I have any general rule, it is that I consult you if the person concerned is a British subject or is resident in this country, and S.I.S. if the person is a foreigner resident in some foreign country. If either you or Vivian can think of a better system, I should be only too delighted to adopt it.

I/

Captain Guy Liddell, M.C.



I am sending a copy of this letter to Vivian under a brief explanatory coverer, whing the his community as well,

The looky

CX/22602/J, dated 20.5.42.

My dear Guy,

Since we met on 30th April, I have turned up the papers about M.I.5./M.I.6. liaison. The last document of importance is that which I forwarded to Harker with CX/22602/J, dated 15.12.40. I agree with you that para.3. is now completely out of date and I suggest that the following be substituted for it:

- (3) The general exceptions to the above principle, which have my and Cowgill's approval, are as follows:
  - (1) Captain Blunt in direct liaison with Miss over all matters relating to arrangements for the collection and improvement of Special Material.
  - (11) Captain Blunt in direct liaison with Mr. over all matters, general and specific, relating to arrangements for the collection and improvement of material.
- (iii) Captain Blunt in direct liaison with Cdr. Denniston regarding regular supplies of cipher material (including Spanish) and the statements of specific doubts or queries relating thereto.
- (iv) Lieut. Hogg in direct teleprinter touch with Mr. regarding the vetting of candidates for employment in S.I.S.
  - (v) Major Haylor in direct teleprinter touch with Captain regarding the tracing of refugees arriving at the R.F.S.
  - (vi) Mr. Horrocks and his officers in the M.I.S. Registry in direct touch with Captain regarding the tracing of names and addresses which occur in current cases being handled by M.I.S.
- (vii) War Office Branch memoranda and jackets marked /to

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IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. AND 2003

to M.I.6. are the concern of Colonel and not of Section V, S.I.S.

We think that the remainder of the Circular attached to my letter of 15th December, 1940, is suitable and should still stand.

Yours sincerely,

1. Finan

Captain G.M. Liddell, M.I.5.

Spoke of again a soid I revoued facefor lé contenser forment produce; le agreed. I should heart are might duling

Secret.

Copy in 5450/6/18(38)

In reply, state NUMBER and DAKE.

CX 22602, dated 15.12.41.

Dear Brigadier Harker,

You will remember that when Section B.26 was inaugurated instructions were given for all letters emanating from this Section addressed to Chief Constables to be sent to you for signature.

In practive such letters are invariably drafted by Captain and copies of all letters which he sends are seen by me daily.

Now that Captain
has very considerable experience in
drafting such letters, and in view of
the fact that he corresponds direct
with Mr. Howe of New Scotland Yard, may
I suggest that you should authorise him
future to sign all letters to Chief
astables. At the same time, if you
sel that some record is required in
M.I.5 of such letters, Captain
can send a copy to the R.S.L.O.

M.I.5 of such letters, Captain
can send a copy to the R.S.L.O.
concerned, for I suggest that it is the
R.S.L.O. and not M.I.5 Head Office who
might possibly be interested in any
such letters.

Yours sincerely.

Brigadier O.A.Harker, O.B.E., M.I.5.

for J'.F.C. (B.26)

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IN DEPARTMENT UNDER SECTION

Cony, priminal in S.F. 69/1.X/15(17)

8. F. 50/28.

The work of B.26 under Major Cowsill is divided on a territorial basis.

4	Captain	. Seals with	Africa Syria Far Hast generally French Colonies.
2.	Ceptain	.11	North, Central & South America, and the islands in the Caribbean Res.
3.	Wr.	"	Scandinavia. Baltic States. Greenland. Iceland.
4.	Capt.	n	France Belgium Franch Worth Africa.

French North Africation of the French North Africation of the

Switzerland Rumania. Bulgaria. Huncary, Jugoslavia. Turkey.

6. Mr. Bpain
Portheal.

W.5.8. 20.5.41.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958 AUG 2003

THE PART

Secret.

In reply, state NUMBER and DATE.

CX/12650/7853, dated 28.4.41.

Dear Comyns Carr,

The suggestion contained in your L.271/1209 of 5.3.41. has been overtaken by recent measures for much closer liaison between M.I.5. and M.I.6. When we were formed into Section B.26 of M.I.5. in August 1940 arrangements were made whereby we would place on record in your index anyone in whom we were interested. If, therefore, you do send every case for a look-up in your own Registry before you start working on it, you ought in theory to have discovered our interest in the particular individual in question, provided that we had begun to be interested in him after 1.8.40. I say in theory because there is always an element of human error in these matters.

Since then your Director-General has laid it down that the name of every foreigner in whom you have an active interest shall be looked up in our records. The scope for error is, therefore, very considerably reduced and I do not think it necessary under present circumstances to place on record in your section or in any other M.I.5. section a list of agents whom we are employing in this country. The keeping of such records is always a danger even though the most stringent rules are made for their safe custody.

Yours sincerely,

R.S.Comyns Carr, Esq., M.I.5.

Copy to Captain Guy Liddell.

Hacked to ZYB

- (1) Some misconception appears to exist as to the general principle governing liaison between the Security Service and S.I.S.
- (2) Section V. of S.I.S., of which Lt Col V. Vivian is the head, has been established for many years as the sole and exclusive channel, through which, subject to exceptions expressly approved by Colonel Vivian himself, such liaison may be conducted. Now that, under the requirements of war, the duties of the two organisations have developed in such a way as partially to overlap and there exists, therefore, a greater danger of misconceptions, it is all the more essential that no direct channel of communication, other than Section V., S.I.S. and its sub-sections (i.e. Captain , Cowgill,

should be utilised. It is largely for this reason that I have approved the grant to Section V., S.I.S., of the honorary status of a Section of the Security Service (viz. B.26), so that the Security Service in general can regard Section V., of S.I.S. as one of its own Sections and Section V. of S.I.S. can act in certain matters within the Security Section with my own authority.

The intimate knowledge of the policy, procedure and functions of the Security Service gained by Colonel Vivian and his officers as a result of many years close contact is, I consider, our best safeguard against the misunderstandings and departmental friction which might easily damage the relations between the two organisations, if the liaison were to pass to less experienced hands.

- (3) The general exceptions to the above principle, which have Colonel Vivian's and my approval, are as follows:
- (i) B.4.(b) in direct limison with Major in cases connected with arms traffic:
- (ii)D.4.(b) in direct teleprint touch with Captain for communicating the names of suspect aliens arriving in the U.K.
- (iii)A.2.(d) in direct consultation with Major or Major Foley in connection with arrangements for interviews in Room 055.
- (iv)War Office Branch Memoranda and Jackets marked to M.I.6. are the concern of Section IV. (Col. ) and not Section V. of S.I.S.

(v) Major has been deliberately given a dual capacity (Section B.24 in M.I.5. and Section R. in S.I.S.) to facilitate dealings between B.24 and the S.I.S. recruiting Sections. It must be clearly understood, however, that Major has no concorn with the vetting of prespective agents. It is only when Section V. (B.26) have given him the "all clear" that he becomes legitimately interested in a prespective agent.

(4)Apart from these general exceptions it is inevitable that from time to time consultation, involving personal contacts or telephone conversations on ad hoc matters between officers of the Security Service and members of S.I.S., other than those of Section V., should become desirable. Such consultation is admissable but should not take place without prior knowledge of Colonel Vivian, who will, whenever considered desirable, arrange direct contact between those concerned.

(2)

In such cases, however, a summary of the matters discussed and any subsequent correspondence should invariably be addressed personally to Colonel Viviah or another officer of Section V. and the contact established in this way should not be continued or extended beyond the scope of the specific object for which it was originally approved.

24.12.40.

(Man han Z.

CX/22602, dated 4.12.40.

Tha

My dear Curry,

ba

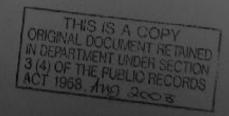
Reference Liddell's SF.50/6/18(6)/B, dated the 8th October, and your SF.50/6/18(18)Dy.B. of 19th November, on the subject of restricting liaison between M.I.5. and 3.I.S. to the single channel of Section V.:

I must apologise firstly for having delayed so long in replying and, secondly, for having in the interim addressed Harker direct on the same subject (my CX/22602 of 22.11.40). The first offence was a common or garden case of overwork; the second was due to the fact that so many instances of this kind of 'break-away' from existing orders occurred on the same day that I suddenly realised that drastic methods were necessary to prevent a landslide on the part of the newer officers ("that knew not Joseph"), both in your Department and in mine!

- (2) Let me now try to get the matter quite square with you, so that you can temper the perhaps too drastic action that may have resulted from my letter to Harker to the exact needs of the situation.
- (3) I should like to repeat with as much emphasis as in my letter to Harker that the only <u>legitimate</u> channel of liaison between M.I.5. and S.I.S. is, and must remain, Section V. If that general principle goes by the board, we may whistle for the good will and the understanding which have marked the relations between our Departments for so long. In this I am merely conforming to the organisation of S.I.S., whose Circulating Sections (including Section V) are each designed as the sole channel of liaison between S.I.S. and one or more named Departments. The procedure is indeed implicit in the creation (some 15 years ago) of Section V. and, as far as S.I.S. is concerned, it has been confirmed by the following extract from a C.S.S. Circular Order, dated 1.8.40.:

"Section V, will become an accredited Section of M.I.5. (B.26) (vide my office order, dated 27.7.40.), but, in so far as work within this Organisation is concerned, it will continue to be known as Section V. It is the only Section of M.I.5. with which any officer of this organisation may have official or unofficial dealings except with the express permission of D.C.S.S." (I.e. myself).

(4) In these days of war, however, and especially in view of a the splitting up of our staffs in various locations, the need for the prompt action on occasions and the infinite ramification of your and your.



our duties, it has become necessary to relax to some slight westent but on strictly controlled lines - the operation of this principle and to make certain exceptions. The exceptions to which I have agreed are as follows:

- (a) Major Section IVA. has full authority to confer and correspond with the relevant branches of M.I.5. on the subject of Arms Traffic. (Note: This exception has been in force for years and is mentioned in my letter to Harker.)
- (b) Major Bardwell of D.4. is fally empowered to communicate by letter or teleprinter with Captain , our Head of Registry, in order to acquaint us with the arrival at ports of certain types of aliens, etc. This is merely, of course, a means of communicating with Section V. in such a way that traces, if any, of the person or persons in question arrive in Section V. along with the news of his or their arrival. This is already in force.
- (c) Major Lennox of Room 055, War Office, is empowered to communicate with Major in regard to applicants for employment. This also is in force.
- (d) Mr. Wood of B.24. is empowered to communicate with Major concerning the interviewing by Major or one of his officers of refugees with a view to agent-recruiting.

(For clarity with regard to (c) and (d) above it may be as well to note that Bygott has two capacities at present. As G.5. he is responsible for the interviewing of applicants for employment as officers, secretaries, etc., and as 'R' he is responsible for obtaining from B.24. details of aliens whom it may be advisable to employ as agents.)

(5) Apart from the above four exceptions to the general principle I find it necessary from time to time to sanction temporary direct consultation between certain officers or Sections of S.I.S. and certain officers or Sections of M.I.S. for ad hoc purposes. But in those cases, unless specifically stated, there should only be consultation and any correspondence should be addressed to Section V. or through Section V. (i.e. myself, , Cowgill, or Jarvis).

Instances of such direct ad hoc consultative liaison, to which I have agreed, are:

(a) - Guy Liddell, re certain agents of in this country.

(c) Miss - Rothschild, re.the case of Basil Stafford.

(c) Miss - Robertson, re.loan of certain S.I.S. personnel of special linguistic qualifications.

- (6) I hope that the above will give you all the data for a really effective D.S.S. order.
  - (7) This brings me to Liddell's letter of the 8th October.
  - (a) As regards his numbered para.l.: S.O.2., to which Peters, Philby, etc., belong, are no longer a part of S.I.S., so the question does not arise.
  - (b) As regards his numbered para.2.: I have myself arranged and blessed temporary consultative liaison with in relation to certain agents of the latter in this country. As regards Hungarian transmissions or any other subject, has no authority from me to deal with M.I.5. direct and should not be allowed to do so, unless and until I have been consulted.

Yours ever,

7

J. Curry, Esq., M.I.5.

In reply, state NUMBER and DATE secret. 22602, dated 22.11.40. My dear Harker, As you know, Section V. and its sub-Sections are the only legitimate channel of liaison between S.I.S. and M.I.S. This has been the case for over ten years when the Order was passed in order to avoid misunders tandings which haddamaged previous relations. It has always worked extremely well, because I and my officers know and sympathise with the M.I.5. point of view and can control demands or requests made by those with less experience of the capabilities and outlook of the two Departments. From time to time various people in this organisation have wittingly or unwittingly broken away from these orders - which, by the way, have recently been repeated categorically in this organisation - and from time to time, therefore, I have had to deal with cases of transgression of this procedure, both in this office and in M.I.5. Recently, however, there has been a perfect land-slide of such cases. It is probable that, owing to the large number of newcomers, the old comprehension of the situation has gone and it is perhaps too much to expect that such newcomers will get to know and abide by the procedure without some definite reminder. The time seems to have come therefore when a very definite D.S.S. Circular Instruction to every officer in your organisation is necessary, prohibiting, except in cases expressly sanctioned by myself, any written communication with any member of S.I.S. other than Section V. and its sub-Sections, viz. myself, Mills, Cowgill, Budden, Jarvis and their subordinate officers. If you could do this at the earlist possible moment, it would relieve me of a great deal of trouble. One of the exceptions to the above rule is one which I have long blessed, namely, that IVa (Major ) should have complete freedom to correspond with M.I.S. on the subject of the Arms Traffic. In addition there are one or two matters of consultation, which I have myself arranged and given my blessing to direct dealings, but, even in these cases (with the exception of the Arms Traffic) any written communication should be made to me or other Section V. officers. I am explaining the whole matter in greater detail to Curry, who is acting for Guy in the matter on previous correspondence, but this may take some time and, in the meanwhile, I feel that what 18/04/2007 09

what is really wanted is a categorical circular order from you, which there can be no gainsaying.

Three fresh cases today are:

West of the second second second

Maude's letter W.2., dated 18.11.40., to the address of Miss

Moore's letter M.I.5/A. of 18.11.40., to the address of

letter B.24/620 of 19.11.40., to the address of Loewe.

Yours sincerely,

Brigadier O.A. Harker, M.I.5. DIRECTOR'S OFFICULAR NO.

It is evident that some misconception exists as to the general principle governing correspondence with S.I.S. The position is that Section V. of S.I.S. of which Colonel Vivian is the head, is, by long standing agreement, appointed to act as the official channel for correspondence between this office and all sections of S.I.S., and it is therefore essential that no direct channel of communication should be established with any section of S.I.S. other than Section V. and its sub-sections, (i.e. Captain Captain Coupill, Captain , Captain Jarvis and their subcritinate officers).

The principal exception to the above rule is the arrangement by which we carry on direct correspondence with Section I/a (

) on the subject of arms traffic.

From time to time It is inevitable that conversations, meetings etc., should take place between officers of the Security Service and members of 3.1.5. other than those in Section V. In such cases, a summary of the matters discussed, and any subsequent correspondence, should invariably be addressed personally to Colonel Vivian or other officer of Section V. In cases in which it appears to Colonel Vivian that Birect consultation between an Officer of the Security Service.

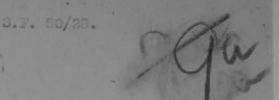
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onteinel in S.F. 94/4/7, 58c(1).

GX/88588/8

dated 25.10.40.



Boar Captain Liddell,

I write to confirm the surengements made with you this afternoon about the disseringtion of foreign intelligence, i.e. intelligence relating to happenings in foreign countries. My recollection of the arrangements (place correct me if it is upong is any respent) is as follows:-

- (1) Gol; Adem will note in his Daily Diery the fact that papers have been passed to 3.26, this being an indication that 3.26 and they alone will deal with such information.
- (11) You will instruct other cections of H.I.S. that passenge in D.4's Daily Diavy so responsible for the disserination of the information.
- (111) You will describe B.26 in the new scheme of H.I.5. which you are getting out and directleting, as responsible for "foreign intelligence".
- (1V) The above does not refer to operational intelligence which will continue to be handled by your Services representatives. If Col. Admi considers that my item of information which he collects from the Port Security Officers to of operational importance (but not no ergent so to have been extracted by the Mayal Intelligence officer under arrangements already ands) he will write at the end of the paragraph containing such information the words "Report inspect to Deputy D(b) for communication to the Service Deputy on the containing and not we are negation it.

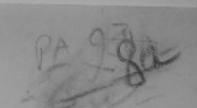
I would be greater at you would confirm these error accepts which have Golonel Vivients outire approval.

Yours sincoroly,

(10) 2

Sept. Day Litterin, M. I.S.

59/



SF. 50/6/18/(6)/B.

8th October 1940

pear V.V. in tode bogolis and it come redge importion

1.

You wrote to me the other day about people in this office corresponding with S. IVS. through channels other than your Section V. Strict instructions are being issued to all concerned that you are the one and only channel. There are two instances however where I feel that perhaps I may be an offender and I should very much like your guidance as to how I should proceed in the future.

in this way was so much simpler. It was thanky deed that the Ambassador should be allowed to continue his

The first case concerns Commander Peters, Philby etc., and their School. I am not very clear, (and I do not think that they are either) whether at the moment they are under you or under M.E.W. You may remember that in the first instance you asked me to see them. They paid two visits when I did my best to help them over certain difficulties. They have now come back with a request for further assistance and for the appointment of some officer here to whom they could in future refer all their difficulties. I got John Maude to go down and see them and he has, I think, given them the answers to the various problems which had arisen. I would gladly let them refer to him direct in the future if this meets with your approval. All the points raised were connected with security arrangements at the school.

Some time ago I was, I think with your knowledge and approval, asked to effect introductions to our Regional Officers for D.B. and various members of his organisation. This was done and is now I gather a going concern. He has, however, on one or two occasions communicated information to me which he receives through an informant in the Hungarian Embassy. It relates to the transmission of information in a special code by the Military Attache. As you know we detected illicit transmissions from the Hungarian Embassy some time ago and the question was taken up with the Minister who replied that as we were transmitting from Budapest he had presumed that there would be no objection to his having a transmitter as communication

RECEIVED IN REGISTRY 9/10

the national archives the national a in this way was so much simpler. It was finally decided that the Ambassador should be allowed to continue his transmissions. Meanwhile we took steps to discover whether the Military Attache was transmitting in a different cypher since it was alleged that he was acting independently and without the knowledge of the Minister. If you feel that this information which we get from time to time from D.B. should go through you, perhaps you would very kindly let me know before you take any action. Toward appartent out our stuff .10 Yours sincerely, that had the visits should be the best to help then over sertain difficulties. They have son once been with a Licut. Colonel V. Vivian, C.B.E., S. I. S. T. ancius rement storill abstrated at word and an e natio

Secret Secret. In reply, state NUMBER and DATE. my dear Guy, There are two points arising from the formation of B.26. One is that an order has been passed prohibiting all sections of this organisation, except my section, from having direct communication with anyone in M.I.5., except with my express permission. I have so far granted such permission only to Major (direct liaison with Lennox) and Major (direct ligison with Derbyshire in arms traffic cases). It would greatly help if you could issue a similar order to all your officers so they may properly understand the position. I have recently had to write to Allnutt on this subject (my CX.12782 of 18.9.40) The second point is that it does not seem to be properly understood by your officers that we are the proper channel for communicating to outside departments information obtained in this country about enemy activity in Foreign countries. Perhaps you would consider whether some form of circular is not also necessary in this respect. SF50/18(6) B. 8/10. Captain Guy Liddell. M.I.5. was respect!

Secret.

In reply, state NUMBER and DATE.

 $\frac{\text{VO}/6(1)}{\text{VB}}$  dated 11.9.40.

My dear Cumming.

At the request of Mrs. Archer Col. Vivian has recently passed the attached order for compliance by officers and secretaries in B.26.

I am sending you ten copies of these in case you may be able to make use of them.

Yours sincerely,

Malcolm Cumming Esq. M. I.5.

rday I adure t ment. onfine niss in on of t

agree, f lookproper e are i r you h to the mation

Cownil

CX/22602, dated 28.7.40. Section B : 26 of Mars working in 3.1.5. Hall ng, M.I.5. imformations will be to reliave other Sections of E.I.S. of the routife action at placeful necessary on behalf of a D.6.

My dear Wood, 257

On 1.8.40. Section V of this office becomes a Section (B.26) of M.I.5. and yesterday I was discussing with Cumming and Horrocks the procedure to be adopted to give effect to this new arrangement. Since then it has occurred to me that if we confine our look-ups to the M.I.5. index we may easily miss information which we have lent to you for the duration of the war and which is not recorded on our own index.

I propose, therefore, if you agree, to send to you simultaneously and independently of look-up arrangements which we have now made with M.I.S. proper, requests for information about people in whom we are interested. Will you please let me know whether you have or can devise a suitable form for this, similar to the one with which I supplied you for traces of information which we have exclusively on our cards.

politan Police avours sincerely to officers, (d) Port Security and occasionally tamigration Officers, (e) The Postal and Telegraph Conscrating and the Investigation Brunch and Tolycorpowipulions Department of

F.C.B.Wood, Esq.,

and from M. 1.6. file Offend markers will be an markers the purpose of the purpose of the file will be an action to the purpose of the file will be an action to the purpose of the file will be an action to the purpose of the purpos tate, one in La C. L. S. . . . . and the other / but the from vacuus which occur in these letters will be of ried

o. intermetion received from pataba stations apposed with the

### DIRECTOR'S CIRCULAR No. 150.

with effect from the lat August, 1940, Section V of S.I.S., (Colone: Vivian and Captains . Cowgitt. and Jarvis), will become, for the purposes set forth in para. below. Section B .26 of M. I.5. working in S. I.S., H.Q.

In reals state NIIMRER and DABE

Their duties will be to relieve other Sections of M. I. 5. of the routine action at present necessary on behalf of M. I.6 in respect of:-

-(a) The interrogation of prisoners,

(b) The exemption of ations from restrictions,

(c) The transfer of specified prisoners from camp to jai (d) The facilitation of agents exit from and entry into sea and air ports,

el The obviation of Censorship.

(f) Procuring assistance for S. [.S. officers from local authorities, including Port authorities,

(g) The planting of agents in Prisons, internment camps, ships, atc. for strictly S I.S. purposes,

(h) The stifling of anguiry about S.I.S. agents, buildin and equipment.

In order to perform these duties it with be necessary for B .26 to correspond direct with:

(a) M. T.5, regional officers,

(b) The Commandants of Internment camps (with A.G.3's permission) and prisons,

(c) The Assistant Commissioner, Special Branch, Metro.

politan Police and the Home Office.

(d) Port Security and occasionally Immigration Officers, (e) The Postal and Telegraph Censorship and the Investigation Branch and Telecommunications Department of

the Post Office, (f) The Ministry of Shipping and the Ministry of Agricul

and Fisheries.

It will be necessary for B.26 to correspond with certain Chie Constables, but all such letters will, for the time being, be drafted by B.26 for actual signature by D.S.S. or B.

- All this correspondence will be conducted on M. T. 5. note paper and from M.I.5. files whose numbers will be specially alloted for the purpose. These files will be maintained in duplicate, one in S.I.S., H.Q. and the other, built up from extra copies specially made for the purpose, in M. I.5., H.Q. Names which occur in these letters will be carded in both pla
- No S.J.S. officer will approach any prisoner or internee . with a view to recruiting him as an agent without first havin had the prospective agent's name run through the M.I.S. index If this rule is rigidly adhered to, it will be unnecessary to consult the M.I.5. index when, after recruitment, facilities any kind are required for an accredited agent.
- Information received from S.I.S. stations abroad will be passed to M.I.5. in the form of an S.I.S. letter with an S.I. file number, as at present,

27.7.40.

Secret.

No/6, dated 22.7.40.

Ny dear Harker,

Since my long discussion with you and Guy about the proposals formulated in my Vo/6 dated 3rd July, 1940. I have given my mind to details of how this scheme will work and have evolved the attached Office Order, see 40 which I hope you agree is suitable for circulation to officers both in your office and ours. If you

I will also send Cowgill to see Cumming, in order to arrange for immediate delivery of the appropriate stationery and to obtain from him the special file numbers which you are to allot to our files.

I would be grateful if you would let me have a very early reply to this letter.

agree, I will have the appropriate number of copies cyclostiled and will send you a supply for circulation

Yours sincerely,

1.00

Brigadier O.A.Harker, M.T.5.

in your office.

P.S. I should have mentioned that there is one difference between this order and the original proposal. It is that Captain JARVIS has been included for honorary membership of M.I.S. This is necessary because JARVIS, who was with Templer in the B.E.F., has joined us and will be employed in the same way as my other officers. I am satisfied that he has obtained a good working knowledge of the lay-out of both M.I.S. and M.I.G.



ers at War Station on 6.7.40.
oming a section (8.22) of U.V.S.

Conference of Section V. officers at War Station on 6.7.40. On the question of Section becoming a section (B.RZ) of W.Y.S.

If the above suggestion were agreed to correspondence would be carried on by S.T.S. direct with the following offices, without reference to M.I.S.:

# Chier Constables, revers to be made of evad bloom stanupod)

- 1. Requests for exemption from restrictions other than from intermment.
- 2. Reports on Suspects.(?)
- 5. Disposal of difficulties with regard to our own agents (B and D.), and officers to assign tol soldillest
- 4. Location of aliens.
- 5. The rollowing up of information about foreign communes re-
- each . Pacilitation of our own officers or agents going to "Pro-

of Chief Coms tables.

## grow Regional Officers; re: riods word . C. I. M mort missroses

- one de Reporta en Suspects. (7)
- visited. Preliminary examination of persons in whom we are inter-
  - 3. Disposal of difficulties with regard to sar agents and officers (B. and D.).

If agreement to separate correspondence is arrived at with

## Home Office, ret M of boos of grassessan od ffir fl .. A. I.M

l. Release from interpment of persons in whom we are interested; after consultation with the relevant section of E.I.S. but not 18B. cases.

### "Chisf That Fratish officer" (Mr. Tokalph) to reg of cale file ft

1. Occasional communication withor. Ded in spectito cases:

#### Governors of Prisons, Po:

1. Permission to interrogate persons in whom we are interested.

### Scotland Yard.

- 1. Same as under "Chief Constables" in cases within the Matropolitan Police Area.
- 2. Facilities for examination of papers of persons in whom we are interested, after consultation with the appropriate feet chives tion of N.I.S.

chives

Location of prisoners.

Permission to interrogate in interment, or P/V, camps Cal

Exemination of documents.

(Requests would have to be made, at any rate at first, through

Security Control Officers, ro:

1. Pasilities to interview members of crews of ships.

B. Facilities for egrees of our sgents. ( G top H)

Before commencing this correspondence, it will be necessary to

- (a) Obtain from M.I.S. an exact list of their Regional Office and the functions of these officers as distinct from thos of Chief Constables.
- Assertsin from N.I.S. how their letter communications wor with their Regional Officers; also what direct telephone lines they have with Regional Officers and the possfoilit of commeating up their direct lines with ours.

If agreement to separate correspondence is arrived at with M.I.S., it will be necessary to send to M.I.S. '(Colonel Harker in the case of Chief Constables, and Captain G.M. Liddell in other cass) copies of all letters despatched from this office It will also be accessery for W. I.S. to place on record avery name mentioned in this correspondence to Capabacco

the

In copy, state NUMBER and DATE VO/8, dated 2.7.40. WEEK My dear Guy, (1) Cowgill and I discussed with you last Friday the whole question of our relations with M.I.S. and what seemed to us the danger, inherent in the present situation, that we might, unless an adjustment of existing relations were to be frankly made, be open to a charge of trespass. You asked me to let you have in writing a note of our requirements and you suggested a remedy, which I have now ascertained is quite acceptable to Colonel Menzies. (2) Our new field of operations, which, willy-nilly, necessitates our working this country, is mainly amongst refugees of all classes (including crews of ships), Gzechs (though this is not a new problem), Poles, Danes, Norwegians, Dutch, Belgians, Franch, Spaniards and Portuguese. (3) Broadly speaking, our objectives are: The recruitment of agents to send back to the various countries now under Nazi domination; The collection of information of all kinds about these countries - political, military, naval and air; The collection of information about individual German and Italian agents temporarily operating in this country, or still operating in occupied areas; Provision for the collection of military information in areas in this country, or Ireland, which may be occupied by the enemy; one The provision of internal communications to be placed at the disposal of the military and other authorities in the event 115 of invasion of this country and the breakdown of regular communications; The recruitment of agents for certain special activities (of which you are aware), both in this country and abroad; The collection of shipping information from outside the three mile limit. (4) The methods by which we hope to achieve these objects can be roughly summarised as follows: (a) An arrangement with your Section B.24. to give us pointers to individuals who will repay further and more careful attention;
The employment of special examiners, with M.I.S. authority, (b) The employment of special interrogators, also with M.I.S. cover, to work in civil internment camps, or gaols, with a view to securing information of the type referred to in both (b) and (c) of para. (3) above;
The planting of agents in civil internment camps and gaols with similar objectives;
The employment of British subjects of some standing (their identity will, of course, be known to your Regional Officers) as 'agents places' in areas in this country likely to be in-(e) vaded by the enemy; (f) The establishment of concealed wireless stations in various parts of the country;
The recruitment and placing of agents for the special tasks of which you are aware;

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